SECOND AMENDMENT TO
THE FUNDING AGREEMENT
BETWEEN THE SAN MATEO COUNTY TRANSPORTATION AUTHORITY AND
THE CITY OF MENLO PARK
FOR THE
RAVENSWOOD AVENUE GRADE SEPARATION STUDY

THIS SECOND AMENDMENT to FUNDING AGREEMENT FISCAL YEAR 2014 for SAN
MATEO COUNTY NEW MEASURE A GRADE SEPARATION PROGRAM FUNDS for the
CITY OF MENLO PARK RAVENSWOOD AVENUE GRADE SEPARATION STUDY
(Funding Agreement) is made as of the ___ day of ____________, 20__, by and between the San
Mateo County Transportation Authority (TA) and the City of Menlo Park (Sponsor), referred to
herein individually as “Party” and jointly as “Parties.”

WHEREAS, on May 1, 2014, through Resolution 2014-10, the TA’s Board of Directors
programmed and allocated up to $750,000 in Measure A Grade Separation Program funds for the
Planning phase (Scope of Work), for the Ravenswood Avenue Grade Separation Study (Project);
and

WHEREAS, the Parties entered into a Funding Agreement for the Project on June 22,
2015; and

WHEREAS, the Parties entered into a first amendment to the Funding Agreement on
February 22, 2016 (First Amendment) to allow the Sponsor and the Peninsula Corridor Joint
Power Board (JPB) to revise their respective roles, responsibilities, and funding shares for the
Project; and

WHEREAS, the Sponsor submitted a request on January 23, 2018, to allow for an
additional sixteen months (16 months) through September 1, 2018 to complete the Scope of
Work; and

WHEREAS, the Scope of Work was to be completed by May 1, 2017 as agreed to in the
Funding Agreement, the Parties desire to enter into a second amendment to allow for an
additional sixteen (16) months through September 1, 2018 (Second Amendment) to complete the
Scope of Work, and the Sponsor will continue to contribute, or otherwise provide for the
contribution of, any amount in excess of $750,000 of Measure A Grade Separation Program
funds needed to implement the Project.

IT IS HEREBY AGREED by the Parties that the Funding Agreement is revised and
amended as follows:

1. EFFECTIVE DATE. This Second Amendment is effective as of the day and year first
written above.

2. PROJECT INFORMATION. Exhibit A-1 is replaced with Exhibit A-2, which is attached
to this Second Amendment and incorporated herein by this reference.
3. **TERM.** Section 3.1, Term, of the Funding Agreement is hereby deleted and replaced in its entirety with the following language:

   3.1 **Term.** The term of this Agreement will commence upon the Execution Date and conclude on December 31, 2018.

4. **TIME OF PERFORMANCE.** Section 3.5, Time of Performance, of the Funding Agreement is hereby deleted and replaced in its entirety with the following language:

   3.5 **Time of Performance.** The Scope of Work must be completed no later than September 1, 2018.

5. **EFFECT.** Except as and solely to the extent amended by this Second Amendment, the Funding Agreement will continue in full force and effect in accordance with its terms.

IN WITNESS WHEREOF, the Parties hereto have caused this Second Amendment to be executed by the persons authorized to act in their respective names on the day and year first written above.
CITY OF MENLO PARK

By: 
Name: Alex McNutt
Its: City Manager

APPROVED AS TO FORM

By: 
Name: Gregory J. Rubens
Its: Legal Counsel

SAN MATEO COUNTY TRANSPORTATION AUTHORITY

By: 
Name: Jim Hartnett
Its: Executive Director

APPROVED AS TO FORM

By: 
Name: Shauna M. van Heeteren
Its: Legal Counsel

ATTEST

By: 
Its: Authority Secretary
EXHIBIT A-2: SCOPE OF WORK INFORMATION

Ravenswood Avenue Grade Separation Project Study Report

Sponsoring Agency: City of Menlo Park

Contact: Justin Murphy
Public Works Director
650.330.6740
jicmurphy@menlopark.org
701 Laurel Street
Menlo Park CA 94025

Lead Implementing Agency: (same as above)
Contact: (same as above)

Overall Project Description:
The existing Ravenswood Avenue at-grade crossing of Caltrain is a critical rail crossing within the City of Menlo Park. This crossing is within the Menlo Park El Camino Real/Downtown Specific Plan Area, and falls within the City's Priority Development Area. A grade separation would improve both safety and traffic flow on Ravenswood Avenue approaching El Camino Real.

Measure A Funded Scope of Work:
The Scope of Work will result in a comprehensive Ravenswood Avenue Grade Separation Project Study Report (PSR), which analyzes feasible alternatives for the construction of the Ravenswood Grade Separation. The PSR would expand on the 2003-2004 Grade Separation Studies prepared by BKF Engineers and complete an in depth study of alternatives for the Ravenswood Avenue crossing.

The prior study identified six alternatives for the four existing at-grade crossings in Menlo Park: Encinal Avenue, Glenwood Avenue, Oak Grove Avenue, and Ravenswood Avenue, assuming an ultimate 4-track alignment through Menlo Park. However, changes to the Caltrain/California High Speed Rail (HSR) Blended System potential plans since that time have eliminated the 4-track options in Menlo Park, and no alternative has yet been recommended as a preferred alternative for detailed design.

This Project Study Report would refine the preliminary concepts identified in the prior study in order to develop design concepts and gain community consensus around a preferred alternative. The recommended preferred alternative, to be described in the PSR, will reflect the consensus developed from the City of Menlo Park, Caltrain/JPB, and the Menlo Park community regarding a viable grade separation project at Ravenswood Avenue. Depending on the alternatives reviewed as part of this PSR, options and impacts to adjacent crossings would also be considered.
As described above, all alternatives developed as part of the PSR would be identified to be consistent with the Caltrain/HSR blended system with two tracks through Menlo Park. Consistent with the Measure A funding requirements, at least one alternative would be developed to allow for the addition of a third passing track through Menlo Park, which is currently an alternative for the Caltrain/HSR blended system.

Performance of the Scope of Work will begin with careful selection of a consultant Project Manager with experience in rail projects and transportation planning/engineering, to be jointly agreed on by Caltrain/JPB and the City of Menlo Park. Following selection of this Project Manager, a community engagement firm will be selected by the City. The engineering/technical studies to support this PSR would be developed by the City. An organizational chart depicting this arrangement is detailed below under “Roles and Responsibilities,” and is collectively referred to as the “Project Team.”

Following selection of the Project Team, the Team will carry out the following tasks to complete the PSR:

- Analysis and review of previous studies
- Collect data
- Alternatives development and screening criteria process
- Analysis of alternatives and recommendation of preferred alternative design
- Production of draft and final report
- Draft and final report, with 15% design plans
- City Council selects preferred alternative

Scope of Work Schedule:

<table>
<thead>
<tr>
<th>Task</th>
<th>Begin</th>
<th>End</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project Team Selection</td>
<td>10/2015</td>
<td>3/2016</td>
</tr>
<tr>
<td>Collect Data</td>
<td>4/2016</td>
<td>7/2016</td>
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</table>
  - Field surveys
  - As-built records
  - Collision records
  - Traffic (vehicle, bicycle, pedestrian) counts
  - Right-of-Way research & surveys
  - Utility mapping
  - UPRR/Caltrain coordination
  - Historical assessment information for nearby properties
Alternatives Development 5/2016 6/2017
- Community engagement plan
- Host community events and gather feedback
- Identify alternatives
- Project webpage & fact sheets
- Develop list of stakeholders
- Community engagement summary report

Alternatives Analysis & Recommendation 7/2016 9/2017
- Engineering feasibility
- Local access, circulation assessments
- Cost risk assessment

Draft & Final Report Production 12/2016 5/2018

Final Report, Presentation, 15% Design Plans 3/2017 5/2018

City Council Selects Preferred Alternative 10/2017 3/2018

Scope of Work Budget/ Source of Funding:
Include funding plan for scope of work defined above, including requested portion of budget for sponsor and the JPB led activities.

<table>
<thead>
<tr>
<th>Task/Activity</th>
<th>Measure A Funding Amount</th>
<th>Other Sources</th>
<th>Task Allocation</th>
<th>Funding Allocation</th>
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*Total costs listed by each task/activity are best estimates and may be subject to change within the overall total amount for the awarded phase of the project work scope during the course of the project upon mutual agreement by the Parties’ authorized representatives.
### Cash Flow Projection for Measure A funds only
The cash flow projection is provided for informational purposes only. Include for both sponsor and JPB led activities.

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<td>Q3 (Jan 1-Mar 31)</td>
<td>Q4 (Apr 1-Jun 30)</td>
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| Cumulative to Date                                | **$0**     | **$0**        | **$0**     | **$0**        | **$0**         | **$0**        | **$0**     | **$0**        |

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<td>Q3 (Jan 1-Mar 31)</td>
<td>Q4 (Apr 1-Jun 30)</td>
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| Cumulative to Date                                | $3,000     | $13,000       | $28,500    | $96,500    | $730,000   | $750,000                | $750,000      |

*Total costs listed by each task/activity are best estimates and may be subject to change within the overall total amount for the awarded phase of the project work scope during the course of the project upon mutual agreement by the Parties’ authorized representatives.
**Roles and Responsibilities:**

The City of Menlo Park and the JPB/Caltrain have worked closely together to develop an appropriate partnership arrangement to move this project forward as quickly as possible. The summary of roles and responsibilities for the City and JPB was based on coordination meetings held February 25, 2015 and August 31, 2015. A project organizational chart developed by the JPB with concurrence from the City as a result of that coordination meeting is attached as part of the section below.

**Sponsor:**

The City of Menlo Park will be responsible for joint project oversight and direction, in collaboration with the JPB/Caltrain. The City will oversee a contract project manager to lead the project efforts, including community engagement (performed by a consultant team) and technical and engineering studies (performed by a consultant team).

**JPB:**

The JPB/Caltrain will be responsible for joint project oversight and direction, in collaboration with the City of Menlo Park. The JPB/Caltrain, or a consultant on their behalf, will prepare and review documentation to ensure compliance with JPB standards, operations, the Caltrain Modernization Program and tenant services, including High Speed Rail and freight operations.

Up to approximately $166,000 has been allocated in the project budget for Caltrain/JPB staff time, with the understanding of both the City and Caltrain/JPB that any unused funding from this allocation will be used for City portion of the “Analysis & Review” task for this project.
Project Organization Chart

Project Direction
City of Menlo Park – Public Works Director
JPB/Caltrain – Liz Scanlon

City Project Manager
(Day-to-Day Oversight)
Angela Obeso

Community Stakeholders
Neighborhood Groups

Project Manager - City of Menlo Park – (AECOM)

Technical Oversight
JPB/Caltrain – Liria Larano

Technical
City of Menlo Park – (AECOM)

Outreach
City of Menlo Park – (Apex / AECOM)

Funding Partners
California High Speed Rail Authority